

Compliance is one of the most crucial and consuming issues in the financial services industry today. A strong understanding of the continually shifting landscape is essential. Regulatory agencies are making it clear through their examinations that no institution can afford to be without a comprehensive, well-managed compliance program. Your compliance officer and staff need the tools, resources and training in order to tackle this task.

Want to focus on a certain topic? Register for one section, or the entire school!

The purpose of this school is to provide students with the knowledge and understanding of laws and regulations that impact lending practices, deposit functions, marketing, and management by:

- Understanding federal laws and regulations
- Fine-tuning management skills with effective techniques for compliance administration
- Instruction geared towards exposing participants to industry practices and expert opinions

CONTINUING EDUCATION

American Bankers Association (ABA) Professional Certifications have been applied for the 2023 ABA Compliance School.

Who We Are

Founded in 2011, Compliance Alliance is the only banking industry compliance resource that is owned, operated, and managed by State Bankers Associations. Based on the needs of community bankers, Compliance Alliance provides its members an all-inclusive set of bank compliance tools and services that help them stay up to date with consumer and regulatory requirements. The Compliance Alliance team consists of former regulators, attorneys, and compliance specialists. Each expert on our hotline and product development teams began their careers in banks working on regulatory issues. We share a passion for and expertise in banking and compliance. As a result, Compliance Alliance understands your needs and can offer detailed expertise to help you tackle your most difficult compliance issues and questions.

ABOUT THE INSTRUCTORS

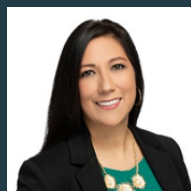


Elizabeth Madlem

Vice President of Compliance Operations & Deputy General Counsel

Elizabeth has industry expertise and real-world solutions surrounding bank-enterprise

initiatives and knowledge of contract law and bank regulatory compliance. As the Vice President of Compliance Operations, Elizabeth oversees C/A's day-to-day operations of the Hotline, as well as leading our Education initiatives. Elizabeth plays an important part in all operational areas of C/A.



Julia A. Gutierrez

Director of Education

Julia brings over 20 years of financial industry experience to the Compliance Alliance team. Her compliance knowledge and understanding derive

from her combined experience in community banks and consulting. Ms. Gutierrez served as a Risk Management and BSA Officer, assisted in the development of an enterprise-wide risk management and compliance program for a de novo institution, assisted in the compliance remediation efforts for an institution who was referred to the DOJ, held positions in retail and branch management, and was a Senior Compliance Advisor for a large regulatory compliance consulting firm.

2023 Compliance SCHOOL

March 27 - 30



(501) 376-3741 • www.arkbankers.org

TOPICS OF

DISCUSSION

This comprehensive four-day school, including an advanced session, will cover lending, deposits, operations and BSA topics to give a well-rounded view of the compliance landscape. Attendees will have extensive opportunities to connect with the speakers and network and discuss common issues with their community banking peers.

REGISTRATION AND PRICING

ABA MEMBERS

Entire School: \$1,360; After February 27: \$1,460
Lending Compliance: \$680; After February 27: \$780
Advanced Compliance: \$340; After February 27: \$390
Deposit Compliance: \$340; After February 27: \$390

NON-MEMBERS

Entire School: \$2,720; After February 27: \$2,920
Lending Compliance: \$1,360; After February 27: \$1,560
Advanced Compliance: \$680; After February 27: \$780
Deposit Compliance: \$680; After February 27: \$780

A continental breakfast, refreshments, and lunch will be provided each day.

ACCOMMODATIONS OR GROUP RATES

This event will be held at the Arkansas Bankers Association. Should you need a hotel room reservation, a Local Negotiated Rate (LNR) has been reserved for your convenience at the following hotels. The LNR is based on hotel availability, and you are responsible for all hotel room charges.

Hilton Garden Inn Downtown | (501) 244-0044
Group Rate: \$139 | Group Code: 3197385

Downtown Marriott Little Rock | (501) 906-4000
Corporate Rate: \$159 | Corporate Code: A5698

March 27, 2023

9:00 A.M. – 4:00 P.M.

CONSUMER LENDING COMPLIANCE

TOPICS

- Consumer Lending – Non-Real Estate
- Fair Lending
- Regulation B
- Lending to Servicemembers
- Regulation O
- FCRA, FACTA and EGRRCPA

March 28, 2023

9:00 A.M. – 4:00 P.M.

REAL ESTATE LENDING COMPLIANCE

TOPICS

- TRID
- HMDA
- Mortgage Servicing Rules
- Flood Rules
- Mortgage Loan Originator Rules and the SAFE Act
- E-Sign



March 29, 2023

9:00 A.M. – 4:00 P.M.

ADVANCED COMPLIANCE

TOPICS

- 2023 Compliance Hot Topics
- Risk Assessments and Mitigation
- Social Media Advertising and UDAAP
- Website Compliance
- Compliance Management Systems
- Complaint Management

March 30, 2023

9:00 A.M. – 4:00 P.M.

DEPOSIT COMPLIANCE AND BSA

TOPICS

- Regulation P
- Regulation D and Regulation DD
- Regulation E
- Regulation CC
- BSA/AML Overview
- BSA Today



CONTACT US

Professional Development Department
(501) 376-3741
kami.coleman@arkbankers.org



WHO SHOULD ATTEND

Compliance Officers, Internal Audit staff, and any employee who assists with Compliance Management, Monitoring, Audit, Review or Training.



WHERE

Arkansas Bankers Association
1220 W. Third Street
Little Rock, AR 72201



WHEN

March 27 - 30, 2023
9:00 AM - 4:00 PM