

HYBRID  
EVENT

# 2021 Compliance SCHOOL

October 25-28



**Compliance is one of the most crucial and consuming issues in the financial services industry today. A strong understanding of the continually shifting landscape is essential. Regulatory agencies are making it clear through their examinations that no institution can afford to be without a comprehensive, well-managed compliance program. Your compliance officer and staff need the tools, resources and training in order to tackle this task.**

**Want to focus on a certain topic? Register for one section, or the entire school!**

**The purpose of this school is to provide students with the knowledge and understanding of laws and regulations that impact lending practices, deposit functions, marketing, and management by:**

- Understanding federal laws and regulations
- Fine-tuning management skills with effective techniques for compliance administration
- Instruction geared towards exposing participants to industry practices and expert opinions

## Who We Are

Founded in 2011, Compliance Alliance is the only banking industry compliance resource that is owned, operated, and managed by State Bankers Associations. Based on the needs of community bankers, Compliance Alliance provides its members an all-inclusive set of bank compliance tools and services that help them stay up to date with consumer and regulatory requirements. The Compliance Alliance team consists of former regulators, attorneys, and compliance specialists. Each expert on our hotline and product development teams began their careers in banks working on regulatory issues. We share a passion for and expertise in banking and compliance. As a result, Compliance Alliance understands your needs and can offer detailed expertise to help you tackle your most difficult compliance issues and questions.



### ABOUT THE INSTRUCTOR

**Elizabeth Madlem**  
*Vice President of Compliance Operations & Deputy General Counsel*

Elizabeth has industry expertise and real-world solutions surrounding bank-enterprise initiatives and knowledge of contract law and bank regulatory compliance. As the Vice President of Compliance Operations, Elizabeth oversees C/A's day-to-day operations of the Hotline, as well as leading our Education initiatives. Elizabeth plays an important part in all operational areas of C/A

### CONTINUING EDUCATION

American Bankers Association (ABA) Professional Certifications has approved the 2021 ABA Compliance School for CAFP 12.75, CRCM 29.5 credit.



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# TOPICS OF DISCUSSION

This comprehensive four-day school, including an advanced session, will cover lending, deposits, operations and BSA topics to give a well-rounded view of the compliance landscape. Attendees will have extensive opportunities to connect with the speakers and network and discuss common issues with their community banking peers.

## REGISTRATION AND PRICING

### ABA MEMBERS

Entire School: \$1,475 ; After Sept. 27: \$1,575  
Lending Compliance: \$650; After Sept. 27: \$750  
Advanced Compliance: \$250; After Sept. 27: \$350  
Deposit Compliance: \$575; After Sept. 27: \$675

### NON-MEMBERS

Entire School: \$2,950 ; After Sept. 27: \$3,050  
Lending Compliance: \$1,300; Sept. 27: \$1,400  
Advanced Compliance: \$500; After Sept. 27: \$600  
Deposit Compliance: \$1,150; After Sept. 27: \$1,250

A continental breakfast, refreshments, and lunch will be provided each day.

## ACCOMMODATIONS OR GROUP RATES

This event will be held at the Arkansas Bankers Association. Should you need a hotel room reservation, a Local Negotiated Rate (LNR) has been reserved for your convenience at the following hotels. The LNR is based on hotel availability, and you are responsible for all hotel room charges.

**Hilton Garden Inn Downtown** | (501) 244-0044  
Group Rate: \$122 | Group Code: 3197385

**Downtown Marriott Little Rock** | (501) 906-4000  
Group Rate: \$159 | Group Code: A5698

## October 25, 2021

9:00 A.M. – 4:30 P.M.

### CONSUMER LENDING COMPLIANCE

#### TOPICS

- Consumer Lending – Non-Real Estate
- Fair Lending
- Regulation B
- Lending to Servicemembers
- Regulation O
- FCRA, FACTA and EGRRCPA

## October 26, 2021

9:00 A.M. – 4:30 P.M.

### REAL ESTATE LENDING COMPLIANCE

#### TOPICS

- TRID
- HMDA
- Mortgage Servicing Rules
- Flood Rules
- Mortgage Loan Originator Rules and the SAFE Act



## October 27, 2021

8:30 A.M. – 2:00 P.M.

### ADVANCED COMPLIANCE

#### TOPICS

- Regulatory Panel
- 2021 Compliance Hot Topics
- Risk Assessments and Mitigation
- BSA Today
- Social Media Advertising and UDAAP
- Website Compliance

## October 27, 2021

2:30 P.M. – 4:30 P.M.

### DEPOSIT COMPLIANCE

#### TOPICS

- Regulation P and Right to Financial Privacy
- Complaint Management

## October 28, 2021

9:00 A.M. – 4:30 P.M.

### DEPOSIT COMPLIANCE

#### TOPICS

- Regulation D and Regulation DD
- E-Sign
- Regulation E
- Regulation CC
- BSA/AML and the “Five” Pillars
- Money Service Businesses and Marijuana Related Businesses



## CONTACT US

Professional Development Department  
(501) 376-3741  
kami.coleman@arkbankers.org



## WHO SHOULD ATTEND

Compliance Officers, Internal Audit staff, and any employee who assists with Compliance Management, Monitoring, Audit, Review or Training.



## WHERE

Virtual (Zoom) or at  
Arkansas Bankers Association  
1220 W. Third Street  
Little Rock, AR 72201



## WHEN

October 25-28, 2021  
9:00 AM - 4:30 PM