



VIRTUAL BSA / AML FUNDAMENTALS BOOTCAMP

MAY 13-14, 2021

GET THE CRITICAL FRAMEWORK NEEDED FOR YOUR BSA/AML PROGRAM

The BSA/AML Fundamentals Bootcamp provides the framework for understanding the BSA team's roles and responsibilities for BSA/AML and OFAC compliance. The financial industry is required to take an increased leading role in the prevention of money laundering and potential terrorist funding. Compliance with the Bank Secrecy Act (BSA) continues to be a top priority with the regulators. One major requirement of BSA is to designate a BSA/AML Officer and to ensure that the BSA Officer and the BSA Team are well trained and qualified to carry out the duties of this critical position.

BSA is an on-going, ever-changing "safety and soundness" issue. This workshop will provide current, updated tools, techniques, and "real world" practice and examples for learning the critical components of a BSA/AML Program. We will review the basic BSA regulatory framework and provide you with an understanding of the BSA, OFAC, and core recordkeeping requirements. During the Bootcamp, the attendees will utilize the FFIEC BSA/AML Examination Manual and 31 CFR Chapter X.

THURSDAY, MAY 13:

- Money Laundering and History of Bank Secrecy Act
- Today's BSA Officer and Team
- The Tools of BSA
- The Role of FinCEN
- BSA/AML Violations and Penalties
- BSA/AML Risk Assessments
- BSA/AML Compliance Program and Pillars
- Customer Identification Program
- Enhanced Due Diligence

FRIDAY, MAY 14:

- BSA Reporting and Record Keeping
 - Currency Transaction Reporting (CTR)
 - CTR Exemptions
 - Monetary Instrument Logs (MIL)
 - Funds Transfers
 - Suspicious Activity Reporting (SAR)
- Office of Foreign Asset Control (OFAC) Compliance
- Record Retention

About the Instructor



Dianne Barton is president of Performance Solutions Inc., a training and consulting company that specializes in providing solutions to the key challenges facing banks in attracting and building relationships with customers. PSI's training programs are designed to "close the gap" between the banks' needs and employees' skills. Her trademark real-world scenarios give participants insight into the practical application of new skills and knowledge.

WHEN

May 13-14, 2021
9:00 a.m. - 4:00 p.m.

WHERE

Comfort of your desk or
anywhere with an internet
connection

WHO SHOULD ATTEND

Newly-appointed BSA/AML officers, BSA/AML team members, those needing a refresher, or those responsible for the function.



COVID-19 UPDATE

The ABA takes the health and safety of members seriously. Given the impact of the coronavirus pandemic, ABA is offering this session as a virtual course. We appreciate your understanding and flexibility, and we look forward to bringing you a great virtual seminar.



Arkansas Bankers Association | Professional Development Department

1220 West Third Street | Little Rock, Arkansas 72201 | (501) 376-3741 | www.arkbankers.org

REGISTRATION FEES

ABA Members:

Early Registration Price: \$680; After April 15: \$780

Non-Members:

Early Registration Price: \$1,360; After April 15: \$1,560

CANCELLATION

Full registration fees will be refunded if a cancellation is received before April 29. No refunds will be given for cancellations made after April 29. All cancellations must be submitted in written format prior to the event.

CONTINUING EDUCATION

ACAMS[®] CEU Awarded:
13 CAMS Credits

American Bankers Association (ABA) Professional Certifications

has approved Bank Secrecy Act/ Anti-Money Laundering for:

CAFP 14.5, CRCM 14.5 CE credits

ABA Professional Certifications is dedicated to promoting the highest standards of performance and ethics within the financial services industry. This statement is not an endorsement of this program or its sponsor.

VIRTUAL LIVE FORMAT

Attendees will need Internet access and a standard web browser to join this video and web conferencing. They will receive an email with a link to join the virtual meeting, handouts, and any additional information a few days before the event.

You do not need your own Zoom account. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the Zoom app on your smart device.
- Internet access
- Audio on computer or a phone line

BSA/AML FUNDAMENTALS BOOTCAMP

MAY 13-14, 2021

Bank/Company Name _____ Phone _____

Registrant Name _____ Title _____ E-mail _____

Registrant Name _____ Title _____ E-mail _____

Address _____ City _____ State _____ Zip _____

Registration Contact _____ Phone _____ E-mail _____

PAYMENT INFORMATION

Charge my: Mastercard  Visa 
 American Express  Discover 

Account Number _____

Name on Card _____

Expiration Date _____ CSC Number _____

(3-digit security code on back of your card)

If you would prefer to give us your credit card information over the phone, please call the ABA Professional Development Department at (501) 376-3741. Please do not email credit card information.

Note: Non-Members must pay with credit card or check prior to the event.

Email: kami.coleman@arkbankers.org

Fax: (501) 376-9243

Mail: Check Payable to:
Arkansas Bankers Association
Professional Development Department
1220 West Third Street
Little Rock, AR 72201

ABA USE ONLY:

Registered: _____

Amount: _____

Received: _____